

# Discrete Mathematics Lecture Notes (2024/2025)

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**Note**

The following have been omitted from these notes for conciseness:

- Spanning trees of the fan graph (lecture 8 page 7)
- Counting the number of ways to pay  $n$  (lecture 8 page 9)
- Planted plane trees (lecture 9 page 4)
- Probabilistic method (lecture 12, lecture 13 page 2, lecture 14 page 2)
- Linear algebra method (lecture 14 page 3, lecture 15)

# 1 Combinatorics

## 1.1 Introduction

Notation:  $[n] := \{1, 2, \dots, n\}$

**Number of ways to choose elements from a set**

Number of ways to choose  $k$  balls from an urn containing  $n$  balls:

- With order, with replacement:  $n^k$
- With order, without replacement:  $(n)_k := \frac{n!}{(n-k)!}$  (**falling factorial**)
- Without order, without replacement:  $\binom{n}{k} := \frac{n!}{k!(n-k)!}$  (**binomial coefficient**)
- Without order, with replacement:  $\binom{n+k-1}{k}$

The number of vectors in  $\{0, 1\}^k$  with exactly  $k$  ones is equal to  $\binom{n}{k}$ .

A **multiset** is a generalization of a set which allows duplicates.

**Binomial notation for sets**

$$\binom{V}{k} := \{A \subseteq V : |A| = k\} \quad \left| \binom{V}{k} \right| = \binom{|V|}{k}$$

**Pigeon-hole principle****Pigeon-hole principle:**

If  $p$  pigeons are divided among  $h < p$  pigeon-holes, then some hole has  $\geq 2$  pigeons.

**Advanced pigeon-hole principle:**

If  $p$  pigeons are divided among  $h$  pigeon-holes with  $h(t-1) < p$ , then some hole has  $\geq t$  pigeons.

**Theorem Inclusion-exclusion principle**

Let  $V_1, \dots, V_k$  be subsets of a finite set  $V$ .

$$\begin{aligned} |V_1 \cup V_2 \cup \dots \cup V_k| &= \sum_{r=1}^k (-1)^{r+1} \left( \sum_{1 \leq i_1 < i_r \leq k} |V_{i_1} \cap \dots \cap V_{i_r}| \right) \\ |V \setminus (V_1 \cup V_2 \cup \dots \cup V_k)| &= |V| + \sum_{r=1}^k (-1)^r \left( \sum_{1 \leq i_1 < i_r \leq k} |V_{i_1} \cap \dots \cap V_{i_r}| \right) \end{aligned}$$

**Derangements**

A **derangement** is a permutation with no fixed points.

$$\#(\text{derangements of } [n]) = \left\lfloor \frac{n!}{e} + \frac{1}{2} \right\rfloor$$

## 1.2 Counting circular words

### 1.2.1 The Möbius function

#### Theorem Prime decomposition theorem

For every positive integer  $n \in \mathbb{N}$  there is precisely one way to write it as

$$n = p_1^{e_1} p_2^{e_2} \dots p_k^{e_k}$$

where  $k \in \mathbb{N}$ ,  $p_1, \dots, p_k$  are primes, and  $e_1, \dots, e_n \in \mathbb{N}$ .

#### Definition Möbius function

$$\mu(n) := \begin{cases} 1 & \text{if } n = 1 \\ 0 & \text{if } n \mid p^2 \text{ for some prime } p \\ (-1)^k & \text{if } n \text{ is the product of } k \text{ distinct primes} \end{cases}$$

#### Lemma

$$\sum_{d|n} \mu(d) = \mathbf{1}_{\{1\}} \quad \forall n \in \mathbb{N}$$

#### Theorem Möbius inversion theorem

Let  $F, G : \mathbb{N} \rightarrow \mathbb{R}$ .

$$F(n) = \sum_{d|n} G(d) \quad \forall n \in \mathbb{N} \quad \Rightarrow \quad G(n) = \sum_{d|n} \mu(d) F\left(\frac{n}{d}\right) \quad \forall n \in \mathbb{N}$$

### 1.2.2 Words

#### Definition Words

Let  $A$  be an **alphabet** (a finite set). A **word** of length  $n$  is a sequence of length  $n$  of symbols in  $A$ .

We can define two maps on words:

$$\text{Shift map: } \sigma(w_1 \dots w_n) := w_2 \dots w_n w_1 \quad \text{Reverse map: } \tau(w_1 \dots w_n) = w_n w_{n-1} \dots w_2 w_1$$

#### Definition Period

A word  $w$  is **periodic** if it is made by repeating a shorter word. Otherwise it is **aperiodic**.

The **period** of a periodic word is the length of the shortest word  $v$  such that  $w$  is a repeat of  $v$ .

### 1.2.3 Necklaces

#### Definition Necklace

Two words are **shift equivalent** (denoted  $w \equiv_{nl} v$ ) if one can be obtained from the other by some number of shifts. An equivalence class under this relation, denoted  $[w]_{nl}$ , is called a **necklace**.

#### Notation

$$N(n, r) := \#\{\text{necklaces of length } n \text{ over an alphabet of size } r\}$$

$$A(n, r) := \#\{\text{aperiodic necklaces of length } n \text{ over an alphabet of size } r\}$$

#### Theorem (Macmahon)

$$A(n, r) = \frac{1}{n} \sum_{d|n} \mu(d) \cdot r^{\frac{n}{d}}$$

**Definition** Euler totient function

$$\varphi(n) := \#\{1 \leq i \leq n : \gcd(i, n) = 1\}$$

**Theorem** (Moreau)

$$N(n, r) = \frac{1}{n} \sum_{d|n} \varphi(n/d) \cdot r^d$$

#### 1.2.4 Bracelets

**Definition** Bracelet

Two words are **shift-reverse equivalent** (denoted  $w \equiv_{br} v$ ) if one can be obtained from the other by some sequence of shifts and reverses. An equivalence class under this relation, denoted  $[w]_{br}$ , is called a **bracelet**.

**Definition** Symmetric and constant necklaces

A **symmetric necklace** is a necklace where the reverse is equal to some number of shifts.

A **constant word** is a word of the form  $(x \dots x)$  for some  $x \in [r]$ .

A **constant necklace** is a necklace that has a representative word which is constant.

**Notation**

$$B(n, r) := \#\{\text{bracelets of length } n \text{ over an alphabet of size } r\}$$

$$S(n, r) := \#\{\text{symmetric necklaces of length } n \text{ over an alphabet of size } r\}$$

**Lemma**

If  $n$  is odd, then for every symmetric necklace  $s$ , there is exactly one  $v \in s$  such that  $\tau(v) = v$ .

**Lemma**

For  $n$  even, and every non-constant symmetric necklace  $s$ , one of the following holds:

1. There is precisely one  $v \in s$  such that  $\tau(v) = v$  and precisely one  $u \in s$  such that  $\tau(u) = \sigma(u)$
2. There are precisely two  $v \in s$  such that  $\tau(v) = v$  and no  $u \in s$  such that  $\tau(u) = \sigma(u)$
3. There are no  $v \in s$  such that  $\tau(v) = v$  and precisely two  $u \in s$  such that  $\tau(u) = \sigma(u)$

**Theorem**

$$B(n, r) = \begin{cases} \frac{1}{2}N(n, r) + \frac{1}{2}r^{(n+1)/2} & \text{if } n \text{ is odd} \\ \frac{1}{2}N(n, r) + \frac{1}{4}(r+1)r^{n/2} & \text{if } n \text{ is even} \end{cases}$$

### 1.3 Counting graphs

**Proposition**

There are  $2^{\binom{n}{2}}$  distinct graphs on the vertex set  $[n]$ .

#### 1.3.1 Counting trees

**Theorem** (Cayley)

There are  $n^{n-2}$  distinct trees with vertex set  $[n]$ .

**Prüfer codes**

We can construct the **Prüfer code**  $(c_1, c_2, \dots, c_{n-2})$  of a tree  $T$  with  $n$  vertices as follows:

1. Assign a label (a real number) to each vertex  $v \in V$ .
2. Repeat the following steps:
  - (a) Find the leaf  $v$  with the smallest label amongst all leaves.
  - (b) This leaf  $v$  has a unique neighbor  $u$ . Add  $u$  to the code.
  - (c) Remove  $v$  from the tree.
  - (d) If there are only 2 vertices left, stop.

**Proposition** Properties of the Prüfer code

1. Leaves of  $T$  do not appear in the code.
2. Each vertex  $v$  occurs precisely  $\deg(v) - 1$  times in the code.
3. If  $v$  is the leaf that is first removed and the code for  $T$  is  $(c_1, c_2, \dots, c_{n-2})$  then  $(c_2, \dots, c_{n-2})$  is the code for  $T \setminus v$ .
4. We can recover the tree  $T$  from its Prüfer code  $(c_1, c_2, \dots, c_{n-2})$ .

**1.3.2 Unlabelled graphs****Definition** Graph isomorphism

The graphs  $G = (V, E)$  and  $G' = (V', E')$  are **isomorphic**, denoted  $G \cong G'$ , if there exists a bijection

$$\varphi : V \rightarrow V' \quad \text{such that} \quad vw \in E \iff \varphi(v)\varphi(w) \in E'$$

Such a map  $\varphi$  is called an **isomorphism**.

The set of all graphs is partitioned into **isomorphism classes**, which we also call **unlabelled graphs**. We denote:

$$\text{Isom}(G, H) := \{\text{isomorphisms from } G \text{ to } H\} \quad \text{isom}(G, H) := \#\text{Isom}(G, H)$$

**Notation**

For two sequences  $(a_n)$  and  $(b_n)$ , we denote  $a_n \sim b_n$  if  $\lim_{n \rightarrow \infty} \frac{a_n}{b_n} = 1$

**Theorem** (Pólya)

The number  $u_n$  of unlabelled graphs on  $n$  vertices satisfies  $u_n \sim \frac{2^{\binom{n}{2}}}{n!}$

**Definition** Graph automorphism

An **automorphism** of a graph  $G = (V, E)$  is an isomorphism from  $G$  to itself.

We denote:

$$\text{Aut}(G) := \{\text{automorphisms of } G\} \quad \text{aut}(G) := \#\text{Aut}(G)$$

**Notation**

$$\mathcal{G}_n := \{(\text{labelled}) \text{ graphs with vertex set } [n]\} \quad \mathcal{U}_n := \{\text{unlabelled graphs on } n \text{ vertices}\}$$

**Lemma**

$$|\mathcal{G}_G| = \frac{v(G)!}{\text{aut}(G)}$$

**Lemma**

If  $\pi$  is not the identity map, then there exist  $2 \leq k \leq n$  and distinct  $i_1, \dots, i_k \in [n]$  such that

$$\pi(i_1) = i_2, \dots, \pi(i_{k-1}) = i_k \quad \pi(i_k) = i_1$$

**Theorem (Otter)**

The number  $t_n$  of unlabelled trees satisfies

$$t_n \sim c \cdot n^{-\frac{5}{2}} \cdot \alpha^n \quad c \approx 0.534949606 \dots \quad \alpha \approx 2.95576528565 \dots$$

## 2 Recurrences

**Definition Recursive relation**

We say that  $(a_n)$  satisfies a **recursion** (or **recursive relation**) of **order**  $m$  if we can write

$$a_n = f(a_{n-1}, \dots, a_{n-m}, n) \quad \text{for all } n \geq m$$

where  $f$  is some (fixed) function.

**Closed-form expression**

We usually want to find an explicit solution (i.e. a function  $f$  such that  $a_n = f(n)$ ) for a recurrence, which does not contain symbols like  $\sum$ ,  $\prod$  or  $\dots$ . This is sometimes called a **closed-form expression**.

### 2.1 Linear recurrences with constant coefficients

**Definition Linear recursion with constant coefficients**

A **linear recursion** is of the form

$$a_n = f_1(n) \cdot a_1 + \dots + f_m(n) \cdot a_n + g(n)$$

If the coefficients do not depend on  $n$ , then we speak of a **linear recursion with constant coefficients**.

If  $g(n) = 0$ , the recurrence is **homogeneous**. Otherwise, it is **inhomogeneous**.

#### 2.1.1 Homogeneous recurrences

**Proposition**

Consider a linear, homogeneous recursion with constant coefficients. Then the set of all sequences that satisfies it:

$$\mathcal{S} := \{(a_n) : a_n + c_1 a_{n-1} + \dots + c_m a_{n-m} = 0 \text{ for all } n \geq m\}$$

is a vector space over  $\mathbb{C}$ . Provided that  $c_m \neq 0$ , the dimension of this vector space is  $m$ .

**Definition Characteristic polynomial**

Consider a linear, homogeneous recursion with constant coefficients. Its **characteristic polynomial** is:

$$P(z) = z^m + c_1 z^{m-1} + \dots + c_m$$

and its **characteristic equation** is  $P(z) = 0$ .

**Theorem Fundamental theorem of algebra**

Any complex polynomial  $P(z)$  of degree  $n$  can be written as:

$$(z - r_1)(z - r_2) \dots (z - r_n) \quad r_1, \dots, r_n \in \mathbb{C}$$

**Lemma**

Consider a linear, homogeneous recursion with constant coefficients.

Any  $r \neq 0$  is a root of the characteristic polynomial if and only if  $a_n := r^n$  is a solution of the recursion.

**Proposition**

Consider a linear, homogeneous recursion with constant coefficients. Any  $r \neq 0$  is a root of the characteristic polynomial of multiplicity  $> i$  if and only if the sequence  $a_n := n^i r^n$  is a solution of the recursion.

**Lemma**

Let  $P$  be an arbitrary polynomial and  $i \in \mathbb{N}$ .

1. If  $P$  has a root of multiplicity  $> i$  at  $r$  then its derivative  $P'$  has a root of multiplicity  $> i - 1$  at  $r$ .
2. If  $P$  has a root at  $r$ , and  $P'$  has a root of multiplicity  $> i - 1$  at  $r$ , then  $r$  has multiplicity  $> i$  in  $P$ .

**Theorem**

Consider a linear, homogeneous recursion with constant coefficients, and suppose the roots of  $P(z)$  are  $r_1, \dots, r_k$  with  $r_j$  having multiplicity  $m_j$ . Every solution of the recurrence is of the form

$$a_n = P_1(n)r_1^n + \dots + P_k(n)r_k^n$$

where  $P_j$  is a polynomial of degree  $\leq m_j - 1$ , and every sequence of this form is a solution of the recurrence. Alternatively:

$$\mathcal{S} = \text{span}\{r_1^n, \dots, n^{m_1-1}r_1^n, \dots, r_k^n, \dots, n^{m_k-1}r_k^n\}$$

**2.1.2 Inhomogeneous recurrences****Theorem**

Consider a linear, inhomogeneous recursion with constant coefficients.

Let  $a_n^{(p)}$  be a (particular) solution of the inhomogeneous recursion.

Then all solutions are of the form  $a_n^{(p)} + a_n^{(h)}$  for some solution  $a_n^{(h)}$  of the homogeneous case.

Combined with the previous theorem, we have for any linear recursion with constant coefficients:

$$a_n = a_n^{(h)} + P_1(n)r_1^n + \dots + P_k(n)r_k^n$$

**Lemma**

Let  $c_0, c_1, \dots, c_m \in \mathbb{R}$  with  $c_0 \neq 0$  and let  $a_n = n^k r^n$  where  $k$  is a nonnegative integer and  $r \in \mathbb{C}$ . Then

$$c_0 a_n + c_1 a_{n-1} + \dots + c_m a_{n-m} = R(n) \cdot r^n$$

where  $R$  is a polynomial of degree  $k + j$ , and  $j$  is the multiplicity of  $r$  if  $r$  is a root of

$$P(z) := c_0 z^m + c_1 z^{m-1} + \dots + c_m$$

and 0 otherwise. Moreover, the coefficient of  $n^{k-j}$  in  $R$  is

$$\binom{k}{j} \cdot \frac{P^{(j)}(r)}{r^{m-j}}$$

where  $P^{(j)}$  denotes the  $j$ -th derivative of  $P$ .

**Theorem**

Consider an inhomogeneous recursion with linear coefficients of the following form:

$$a_n + c_1 a_{n-1} + \dots + c_m a_{n-m} = Q(n) \cdot r^n$$

where  $Q(n)$  is a polynomial of degree  $k$ . There is a particular solution:

$$a_n^{(p)} = R(n) \cdot r(n)$$

where  $R$  is a polynomial of degree  $k+j$ , and  $j$  is the multiplicity of  $r$  if  $r$  is a root of  $P(z)$ , and 0 otherwise.

## 2.2 Ordinary generating functions

**Definition Generating function**

If  $a_0, a_1, \dots$  is a sequence of numbers then its (ordinary) **generating function** is the following power series:

$$A(z) := \sum_{n=0}^{\infty} a_n z^n = a_0 + a_1 z + b_2 z^2 + \dots$$

We use the notation  $[z^n]A(z) := a_n$  for the coefficient of  $z^n$  in  $A(z)$ .

**Proposition**

Let  $A(z)$  and  $B(z)$  be generating functions with positive radius of convergence:

$$A(z) = a_0 + a_1 z + b_2 z^2 + \dots = \sum_{n=0}^{\infty} a_n z^n \quad B(z) = b_0 + b_1 z + b_2 z^2 + \dots = \sum_{n=0}^{\infty} b_n z^n$$

Then the following are also generating functions with positive radius of convergence.

1.  $A(z) + B(z) = (a_0 + b_0) + (a_1 + b_1)z + (a_2 + b_2)z^2 + \dots = \sum_{n=0}^{\infty} (a_n + b_n)z^n$
2.  $A(z) \cdot B(z) = a_0 b_0 + (a_0 b_1 + a_1 b_0)z + (a_0 b_2 + a_1 b_1 + a_2 b_0)z^2 = \sum_{n=0}^{\infty} z_n \cdot \left( \sum_{i=0}^n a_i b_{n-i} \right)$  (**Convolution formula**)
3.  $A'(z) = \sum_{n=0}^{\infty} n a_n z^{n-1} = \sum_{n=0}^{\infty} (n+1) a_{n+1} z^n$
4.  $\int_0^z A(t) dt = \sum_{n=0}^{\infty} \frac{1}{n+1} a_n z^{n+1} = \sum_{n=0}^{\infty} \frac{1}{n} a_{n-1} z^n$

**Proposition**

Suppose  $(a_n)$  is a sequence such that  $|a_n| < K^n$  for some constant  $K > 0$ .

Then the power series  $A(z)$  has a positive radius of convergence and the derivatives of  $A$  of all orders exist at 0, and satisfy:

$$A^{(n)}(0) = n! \cdot a_n$$

where  $A^{(n)}$  denotes the  $n$ -th derivative of  $A$ .

## Examples of generating functions

Sequence	Generating function
$a_0, a_1, a_2, \dots$	$A(z)$
$0, a_0, a_1, \dots$	$aA(z)$
$a_1, a_2, a_3, \dots$	$\frac{1}{z} \cdot (A(z) - a_0)$
$a_0, 0, a_1, 0, a_2, \dots$	$A(z^2)$
$a_0, 0, a_2, 0, a_4, \dots$	$\frac{1}{2}(A(z) + A(-z))$
Partial sums of $(a_n)$	$\frac{1}{1-z} \cdot A(z)$
Fibonacci sequence	$\frac{1}{1-z-z^2}$

## 2.2.1 Generalized binomial coefficient

## Definition Generalized binomial coefficient

For  $\alpha \in \mathbb{R}$  and  $n \in \mathbb{N}_0$ , the **generalized binomial coefficient** is given by:

$$\binom{\alpha}{n} := \frac{\alpha \cdot (\alpha - 1) \cdot \dots \cdot (\alpha - n + 1)}{n!}$$

## Theorem Generalized binomial theorem

For  $|z| < 1$  and  $\alpha \in \mathbb{R}$  we have

$$(1 + z)^\alpha = \sum_{n=0}^{\infty} \binom{\alpha}{n} z^n$$

## 2.2.2 Catalan numbers

## Definition Catalan numbers

$$c_n = \frac{1}{n+1} \binom{2n}{n}$$

## Definition Dyck word and path

A **Dyck word** is a word  $w = w_1 w_2 \dots w_{2n}$  over the alphabet  $\{0, 1\}$  of length  $2n$  with exactly  $n$  zeroes and  $n$  ones, such that for each  $i \leq 2n$ , the number of ones in  $w_1 \dots w_i$  is greater than or equal to the number of zeroes.

A **Dyck path** is a path of length  $2n$  in the integer grid  $\{0, \dots, n\} \times \{0, \dots, n\}$  with the property that the path starts at the origin  $(0, 0)$ , ends at  $(n, n)$ , at each step either goes right or up, and is never below the diagonal  $y = x$ .

## Proposition

The following are equal to the Catalan number  $c_n$ :

- The number of ways to add non-intersecting line segments between the corner points of a convex  $n$ -gon such that the line segments dissect the polygon into triangles.
- The number of Dyck words of length  $2n$
- The number of Dyck paths of length  $2n$
- The number of planted plane trees (explained further in lecture 9, page 4)

**Definition** Pattern-avoiding permutations

Let  $\sigma \in S_k$  be a permutation of  $[k]$ . A permutation  $\pi \in S_n$  of  $[n]$  **contains the pattern**  $\sigma$  if:

$$\text{there exist } i_1 < i_2 < \dots < i_k \text{ such that } \pi(i_{\sigma^{-1}(1)}) < \pi(i_{\sigma^{-1}(2)}) < \dots < \pi(i_{\sigma^{-1}(k)})$$

We say  $\pi$  avoids  $\sigma$  if it does not contain the pattern  $\sigma$ , and for notational convenience we set:

$$\text{Av}(n, \sigma) := \{\pi \in S_n : \pi \text{ avoids } \sigma\} \quad \text{av}(n, \sigma) := |\text{Av}(n, \sigma)|$$

**Theorem**

$\text{av}(n, \sigma)$  is equal to the Catalan number  $c_n$  for all  $\sigma \in S_3$ .

## 2.3 Exponential generating functions

**Definition** Exponential generating function

The **exponential generating function** of the sequence  $a_0, a_1, a_2, \dots$  is:

$$\hat{A}(z) := \sum_{n=0}^{\infty} a_n \frac{z^n}{n!}$$

**Examples of transformed exponential generating functions**

Sequence	EGF
$a_0, a_1, a_2, \dots$	$\hat{A}(z)$
$1, 1, 1, \dots$	$e^z$
$a_1, a_2, a_3, \dots$	$\hat{A}'(z)$
$0, a_0, a_1, \dots$	$\int \hat{A}(z)$
$0, a_1, 2a_2, 3a_3, \dots$	$z\hat{A}(z)$

**Proposition** Binomial convolution formula

$$\hat{A}(z) \cdot \hat{B}(z) = \sum_{n=0}^{\infty} \frac{z^n}{n!} \cdot \left( \sum_{i=0}^n \binom{n}{i} \cdot a_i \cdot b_{n-i} \right)$$

**Definition** Multinomial coefficient

$$\binom{n}{n_1, \dots, n_k} = \frac{n!}{n_1! \dots n_k!} \quad (\text{provided } n_1 + \dots + n_k = n)$$

### 2.3.1 Bernoulli numbers

**Definition** Bernoulli numbers

The **Bernoulli numbers** are defined recursively by:

$$b_0 = 1, \quad \sum_{j=0}^k \binom{k+1}{j} \cdot b_j = 0 \quad (k \geq 1)$$

**Theorem** Faulhaber's formula

$$\sum_{i=0}^{n-1} i^k = \frac{1}{k+1} \cdot \left( \binom{k+1}{0} \cdot b_0 \cdot n^{k+1} + \binom{k+1}{1} \cdot b_1 \cdot n^k + \binom{k+1}{2} \cdot b_2 \cdot n^{k-1} + \dots + \binom{k+1}{k} \cdot b_k \cdot n \right)$$

### 2.3.2 Stirling numbers

#### Definition Stirling numbers of the second kind

We denote the number of ordered partitions of  $[n]$  into  $k$  non-empty parts by  $o_{n,k}$ . The **Stirling numbers of the second kind**, denoted  $u_{n,k}$  or  $\begin{Bmatrix} n \\ k \end{Bmatrix}$ , are the number of unordered partitions of  $[n]$  into  $k$  non-empty parts.

#### Partitions of $[n]$ into $k$ non-empty parts

$$o_{n,k} = \sum_{j=0}^k \binom{k}{j} j^n (-1)^{k-j} \quad u_{n,k} = \frac{1}{k!} \cdot o_{n,k} = \frac{1}{k!} \cdot \sum_{j=0}^k \binom{k}{j} j^n (-1)^{k-j}$$

#### Definition Bell numbers

The **Bell number**  $b_n$  is the total number of unordered partitions of  $[n]$ , into any number of parts.

#### Theorem Dobski's formula

$$b_n = \frac{1}{e} \cdot \sum_{k=0}^{\infty} \frac{k^n}{k!}$$

#### Definition Stirling numbers of the first kind

The (unsigned) **Stirling number of the first kind**, denoted  $s_{n,k}$  or  $[n]_k$ , is the number of permutations  $\pi \in S_n$  that have exactly  $k$  cycles. The **signed Stirling numbers of the first kind** are  $(-1)^{n-k} \cdot s_{n,k}$ .

#### Lemma

$$\begin{bmatrix} n+1 \\ k \end{bmatrix} = \begin{bmatrix} n \\ k-1 \end{bmatrix} + n \cdot \begin{bmatrix} n \\ k \end{bmatrix} \quad \text{for all } n, k \geq 1$$

#### Proposition

$$(z)_n = \sum_{k=0}^n (-1)^{n-k} \begin{bmatrix} n \\ k \end{bmatrix} z^k$$

#### Corollary

$$\begin{bmatrix} n \\ k \end{bmatrix} = \sum_{0 < i_1 < \dots < i_{n-k} < n} i_1 \cdots i_{n-k}$$

#### Theorem Stirling inversion

Let  $(a_n)$  and  $(b_n)$  be sequences of numbers.

$$b_n = \sum_{k=0}^n \begin{Bmatrix} n \\ k \end{Bmatrix} a_k \quad \text{for all } n \quad \iff \quad a_n = \sum_{k=0}^n (-1)^{n-k} \begin{bmatrix} n \\ k \end{bmatrix} b_k \quad \text{for all } n$$

## 3 Extremal graph and set theory

### 3.1 Ramsey theory

#### Definition Ramsey numbers

$R(s, t) := \inf\{n : \text{in every red-blue colouring of the edges of } K_n \text{ there is either a red } K_s \text{ or a blue } K_t\}$

#### Theorem (Ramsey)

$R(s, t) < \infty$  for all  $s, t \in \mathbb{N}$ .

**Theorem (Erdős)**

If  $n, t$  satisfy  $\binom{n}{t} 2^{1 - \binom{t}{2}} < 1$ , then  $R(t, t) > n$ .

**Theorem (Erdős-Szekeres)**

$R(s+1, t+1) \leq R(s, t+1) + R(s+1, t)$  for all  $s, t \geq 1$

**Corollary**

$R(s, t) \leq 2^{s+t}$  for all  $s, t \geq 1$ .

**Theorem**

$$\sqrt{2}^t \leq R(t, t) \leq 4^t$$

## 3.2 High girth and chromatic number

**Definition Chromatic number**

A  **$k$ -colouring** of a graph  $G$  is a map  $f : V(G) \rightarrow [k]$  with the property that  $f(u) \neq f(v)$  whenever  $uv \in E(G)$ . The **chromatic number** of  $G$ , denoted  $\chi(G)$ , is the least  $k$  for which a  $k$ -colouring exists.

**Definition Stable set**

A **stable set** in a graph  $G$  is a subset  $A \subseteq V(G)$  of the vertices such that  $ab \notin E(G)$  for all  $a, b \in A$ .

The **stability number** or **independence number** of  $G$ , denoted  $\alpha(G)$ , is the cardinality of the largest stable set.

**Definition Girth**

The **girth** of a graph  $G$  is the length of the shortest cycle in  $G$ .

**Theorem (Erdős)**

For every  $k, \ell$  there exists a graph  $G$  with  $\chi(G) > k$  and  $\text{girth}(G) > \ell$ .

## 3.3 Crossing numbers

**Definition Crossing number**

The **crossing number**  $\text{cr}(G)$  of a graph  $G$  is the least number of crossings in a drawing of  $G$  in the plane.

The **rectilinear crossing number**  $\text{rcr}(G)$  of  $G$  is the minimum number of crossings in a **rectilinear drawing** of  $G$ .

A rectilinear drawing is a drawing of a graph where all edges are straight line segments.

**Theorem Crossing number inequality**

$$\text{cr}(G) \geq \frac{e(G)^3}{64v(G)^2} \quad \text{provided } e(G) \geq 4v(G)$$

**Theorem (Euler)**

$$v(G) - e(G) + f(G) = 2$$

**Corollary**

If  $G$  is planar then  $e(G) \leq 3v(G)$ ; if  $G$  is planar and  $v(G) \geq 3$  then  $e(G) \leq 3v(G) - 6$ .

**Theorem (Wagner)**

If  $G$  is planar then it has a rectilinear drawing that is crossing free.

**Theorem (Bienstock-Dean)**

1. If  $\text{cr}(G) \leq 3$  then  $\text{rcr}(G) = \text{cr}(G)$
2. For every  $k$ , there exists a graph  $G$  with  $\text{cr}(G) = 4$  and  $\text{rcr}(G) > k$ .

**3.3.1 Point-line incidences and unit distances****Definition Point-line incidences**

Let  $P \subseteq \mathbb{R}^2$  be a set of points and  $L$  a set of lines. The number of **point-line incidences** is defined as:

$$I(P, L) := \#\{(p, \ell) : p \in P, \ell \in L, p \in \ell\}$$

**Theorem (Szemerédi-Trotter)**

$$I(P, L) \leq 4(|P||L|)^{2/3} + |L| + 4|P|$$

**Definition Maximum number of unit distances**

$$u(n) := \max_{\substack{P \subseteq \mathbb{R}^2 \\ |P|=n}} \#\{(p, q) : p, q \in P, \|p - q\| = 1\}$$

**Theorem (Erdős)**

For  $n$  sufficiently large, there exists a constant  $c > 0$  such that  $u(n) > n^{1+\frac{c}{\log \log n}}$ .

**Theorem (Spencer, Szemerédi, Trotter)**

$$u(n) = \mathcal{O}(n^{4/3})$$

**3.4 Extremal set theory****Definition Intersecting and uniform family**

Let  $V$  be a finite set. A **family**  $\mathcal{F} \subseteq 2^V$  of subsets of  $V$  is **intersecting** if  $A \cap B \neq \emptyset$  for all  $A, B \in \mathcal{F}$ . A family  $\mathcal{F}$  is  **$k$ -uniform**, denoted  $\mathcal{F} \subseteq \binom{V}{k}$ , if all sets in  $\mathcal{F}$  have cardinality  $k$ .

**Proposition**

Let  $V$  be a finite set with  $n$  elements and let  $\mathcal{F} \subseteq 2^V$ . If  $\mathcal{F}$  is intersecting, then  $|\mathcal{F}| \leq 2^{n-1}$   
(Note: this bound is attained by  $\mathcal{F} = \{A \subseteq V : v \in A\}$  for some arbitrary  $v \in V$ )

**Lemma**

Let  $V$  be a finite set,  $\sigma : V \rightarrow V$  a permutation and  $\mathcal{F} \subseteq \binom{V}{k}$  an intersecting family. Define:

$$A_s := \{s, s+1, \dots, s+k-1\} \quad A_s^\sigma := \{\sigma(s), \sigma(s+1), \dots, \sigma(s+k-1)\}$$

where addition is modulo  $n$ . Then:

- $\mathcal{F}$  contains at most  $k$  of the sets  $A_0, A_1, \dots, A_{n-1}$
- For every permutation  $\sigma$ ,  $\mathcal{F}$  contains at most  $k$  of the sets  $A_0^\sigma, A_1^\sigma, \dots, A_{n-1}^\sigma$

**Theorem (Erdős-Ko-Rado)**

If  $V$  is finite,  $k \leq \frac{|V|}{2}$  and  $\mathcal{F} \subseteq \binom{V}{k}$  is intersecting, then  $|\mathcal{F}| \leq \binom{|V|-1}{k-1}$

(Note: this bound is attained by  $\mathcal{F} = \{A \subseteq V : |A| = k, v \in A\}$  for some arbitrary  $v \in V$ )

**Theorem**

Let  $\mathcal{F} \subseteq 2^{[n]}$  be a family of subsets of  $[n]$  such that

1.  $|F|$  is odd for all  $F \in \mathcal{F}$
2.  $|F \cap G|$  is even for all for all distinct  $F, G \in \mathcal{F}$ .

Then  $|\mathcal{F}| \leq n$ . (Note: this bound is attained by  $\mathcal{F} = \{\{1\}, \{2\}, \dots, \{n\}\}$ .)

**Theorem** *Generalized Fisher inequality*

Let  $\mathcal{F} \subseteq 2^{[n]}$  and  $1 \leq t \leq n$  be such that  $|F \cap G| = t$  for all distinct  $F, G \in \mathcal{F}$ . Then  $|\mathcal{F}| \leq n$

## 3.5 More extremal graph theory

**Theorem**

$K_n$  cannot be decomposed into fewer then  $n - 1$  complete bipartite graphs, for every  $n \geq 1$ .

**Theorem** *(Hoffman, Singleton)*

If there exists a graph  $G$  with  $\text{girth}(G) \geq 5$ , all degrees  $\geq k$  and  $v(G) = k^2 + 1$ , then  $k \in \{1, 2, 3, 7, 57\}$ .

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